

Electronic Access to Securities Information

(easi):

1. What is easi?

Easi (Electronic Access to Securities Information) is a convenient, easy to operate internet based facility, which allows registered Beneficial Owners (BOs) & Clearing Members (CMs) to access their demat account through internet to check their demat account details anytime anywhere, through CDSL's website www.cdslindia.com.

2. What are the benefits of easi to BOs?

- Viewing and printing details of current holdings and/or transactions for 30 days in his/her demat account as well as transactions statements cum holding for a particular financial year [365 days] i.e. History statements.
- Viewing and printing the valuation of holdings in his/her demat account based on the previous day's closing price.
- Viewing multiple demat accounts details through a single login ID.
- Viewing and downloading of Consolidated Account Statements [CAS]
- 'easi-locker' facility wherein users can securely store e-documents and share the same with other entities.
- Monitoring corporate announcements related to the securities held in his/her demat account. A BO also has an option to add upto 25 ISINs not held in his/her demat account to monitor corporate announcements for such ISINs.
 - Grouping of own accounts, wherein BO is having multiple accounts with the same PAN [provided the said demat accounts are registered for SMS alert facility and is having the email id recorded in the demat account.
- Edit profile i.e. mobile number, email id & SMS Alert in their demat account.

3. What are the benefits of easi to CMs?

- Viewing and printing of holdings of the securities held in their settlement accounts along with the valuation as per the previous day's closing price.
- Viewing and printing the status of their transactions and also the pay-in and pay-out positions along with the shortages (DP89) for any given settlement.
- Downloading the holdings and/or transaction details to update their back office.

- Monitoring corporate announcements for the securities, held in their demat accounts. A CM also has an option to add maximum of 25 additional ISINs not held in their demat account to monitor corporate announcements for such ISINs.
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4. Can all BOs/CMs use this facility?

Yes, this facility is available to all BOs/ CMs.

5. What is "auto easi" registration?

When a new account [BOID] is activated in the CDSL system with a valid email ID then a log-in for "easi" will be created for the BOID automatically. The 16-digit BOID would be the initial "log-in ID". The BO will receive an email of auto easi registration from CDSL (on the email ID entered in the CDSL system), informing him the procedure for registration for the "easi" log-in.

6. What is the procedure a BO should follow on receipt of email for auto registration?

The BO is required to click on the link sent in the email and complete the registration process. The 16-digit log-in ID may be changed by the BO as per his/ her choice. It should be noted that the BO will be permitted to change the log-in ID only once, at the second screen displayed to the BO. On initial log-in, a prompt to change the password will be displayed and the BO would then be required to change the existing password given in the email sent by CDSL.

7. For how many days the auto easi registration email is valid?

The auto easi registration email is valid till 03 months (i.e.90 days) from the date of receipt of the email. If the BO forgets to register within the stipulated time period, then he can register for easi online through CDSL's website - www.cdslindia.com.

8. What is the procedure to register online for easi?

If a BO wants to register for CDSL's easi facility he/she needs to follow the procedure as mentioned below for online registration:

- Log on to CDSL's website www.cdslindia.com through internet explorer.
- Click on "Register 'easi' User" option available in 'Login to – New System (BO/CM)' link available on the homepage of CDSL's website.
- Enter 16 digit BOID (Demat A/C No.) as login name
- Enter complete PAN (in caps on) and first four digits of date of birth. (PAN + DDMM) as password

- Click on "Go".
- Enter the OTP [one time password] received by you on your Registered Mobile no. (If mobile no. & email id is not registered, user will be required to contact his/her Depository Participant and register the Mobile no for SMS alerts .i.e. SMART)
- The system will ask the user to change the username and Enter Password and confirm the password select the security question and confirm the answer
- On completion of the registration process, grouping page will be available to the BO, Grouping of own accounts, wherein BO is having multiple accounts with the same PAN [provided the said demat accounts are registered for SMS alert facility and is having the email id recorded in the demat account, will be available to the BO for selection.
- In case of Clearing Member / NRI account holder OTP will be received in their Email ID as registered in the demat

9. What has to be entered as date of birth in case of HUF, CM or Corporate BO while registering for easi?

In case of HUF, CM or Corporate BOs, date of incorporation (DDMM) has to be entered as date of birth.

10. While doing online registration for easi, the system shows an error as "Invalid Date of Birth" or "Invalid PAN" or "Invalid Login name".

The details entered by the BO at the time of online registration are checked against the details present in the CDSL system; hence if the system is showing any one of the above errors while doing online registration the BO has to approach his Depository Participant (DP) to know the correct details entered in the CDSL system to carry out the registration process successfully.

11. If a BO is not aware of his/her BOID then whom should he/she contact?

BOID is Beneficial Owner Identification Number or Demat Account Number which is of 16 digits consisting of 08 digits DP ID and 08 digits Client ID. BO has to approach his/her DP (with whom a demat account is opened) to know the demat account number.

12. If a BO has forgotten the username and password for his/her easi login, what is the procedure to obtain the username and password?

The BO can obtain the user name from his DP. If the BO has forgotten the password then the same can be reset through "forgot password" link. The BO has to give either, login ID or BO ID and PAN/ mobile no. or email ID for resetting the password.

After resetting the password, the new password will be sent on the registered email ID for easi login.

13. Can a BO change/modify username after registration?

No, the BO cannot change/modify the username (login name) after registration, he/she can set the username (login name) only once at the time of registration. Login name is unique in the system

14. How many accounts can a BO can map in one login?

The BO can map 08 additional accounts with one login, which means the BO can avail easi facility of 9 accounts with one login.

15. Whether a BO should map the additional BOIDs only during registration for the first time?

- The BO can either map the additional 8 BOIDs during registration for the first time or as and when required. The facility to add or modify the grouping is provided through "edit grouping" option. Please note that grouping of own accounts, wherein BO is having multiple accounts with the same PAN [provided the said demat accounts are registered for SMS alert facility and is having the email id recorded in the demat account] will be available to the BO for selection. Further, note that the form will be generated during grouping only for those accounts wherein the BO is a holder but email id and mobile no. is not registered for such group accounts or the demat accounts wherein BO is not one of the holder. Such grouped accounts form has to be duly filled and signed by the grouped account holders and has to be submitted to respective DP for authentication. On authentication by the DP, the grouped accounts will be viewed in the login through 'Switch to other BOIDs' option.

16. How can a BO view his/her demat account holdings?

To view demat account holdings, go to our website - <http://www.cdslindia.com>, click on "login to – New System (BO/CM) ", enter login ID (username) and password and click on <Login>.

17. What should a BO do if he/she is facing problem while viewing demat account details?

Our easi facility is compatible with Internet Explorer 10.00 and above versions, Google Chrome, Safari and many other browsers

18. Can a BO edit his/her profile like email ID/ telephone number, etc.?

Yes, BO can do the modification of his/her email ID / telephone number, etc. through easi login. For doing so, click on the option "edit profile" available in the easi login. The BO can modify security question and its

answer, email ID, telephone number for the easi login. The same will be effected in his demat account as well on the basis of OTP.

BO also has the option to change his mobile no which has been registered for SMART, further in case if the BO does not want to receive SMS alert he may de-register for such alerts, if he is a POA client he may be able to de-register only for the portfolio valuation.

19. Can a BO monitor corporate announcements for the shares in his/her demat account through easi?

Yes, a BO can monitor corporate announcements for the securities held in demat account through easi login. A BO also has an option to add upto 25 ISINs not held in their demat account to monitor corporate announcements for such ISINs. Further he can register / deregister for email notification for a corporate announcement.

20. How to check the status of transactions?

Easi also facilitates checking the status of transactions, which has been submitted by the BO to his/her DP. To check the status of transaction, please click on the 'Transactions' menu available in easi login.

21. Can a BO set up/upload transactions through easi login?

The BO who has registered for easi facility cannot set up/upload transactions through his/her easi login. The facility for online transfer of shares (or online transactions) is available to BO's through CDSL's 'easiest' facility.

22. If a BO has already registered for easi, how can he/she register for easiest?

The BO who has already registered for easi can register for easiest through "upgrade BOID to easiest" option available in his/her easi login. The form gets generated during upgradation process which has to be submitted to the DP for its authentication. In case of sole holder, he need not submit easiest registration form to DP for authentication.

23. How can a BO register for SMS alerts facility through easi login?

A existing easi registered BO [old User] who has not registered for SMS alert facility can register for SMS alerts facility through "Edit profile" option available in 'Miscellaneous' menu in his/her easi login. Upon registering from easi the same gets updated directly in CDAS and the BO is not required to submit any document to his/her DP, provided the users email id is recorded in the demat account.

Presently a new BO is required to mandatorily register for SMS alert facility in case he wishes to register for easi facility.

(If mobile no. & email id is not registered, user will be required to contact his/her Depository Participant and register the Mobile no for SMS alerts .i.e. SMART)

24. When the SMS alerts facility will get activated after registration?

After registration for SMS alerts facility, it will be activated at the end of the day.

25. How can a BO modify the details of SMS alerts?

SMS alerts details can be modified through "EDIT Profile" option in easi login. It is available under the 'Miscellaneous' menu

26. How to change the password for easi login?

A BO can change the password through "Change Password" option available in his/her easi login.

27. Can BO deregister itself from easi?

Yes, BO can deregister itself from easi through "Deregister" option available in the login.

28. Is it possible to register again for easi/easiest after deregistration?

Yes, it is possible to register again for easi/easiest after deregistration.

29. After deregistration, can a BO register again with the previous username?

No, the BO cannot register with the previous username after deregistration, since the user name is unique in the system. The BO has to register with the new username.

30. What are the charges for availing 'easi' facility?

CDSL has provided the 'easi' facility to its demat account holders free of cost.

31. Is it possible to view Consolidated Account Statement [CAS] through easi/easiest

Yes, you can view and download the CAS through your easi login from the CAS menu.

32. What type of documents you can store through e-locker

You can store financial as well as non-financial documents in e-locker like Credit card statement policy details PAN card copy, Aadhar, Passport, Passport copy, Ration card copy etc.

33.Download statement

Login on easi login , click on the Transaction Menu – option Transaction cum holding statement in the easiest login.

After clicking on Transaction cum holding statement option, you have the choice to setup the instant statement which of any 30 days [from the recent period shown] or historical statement, which you can select the setup from to period / select as per the financial year and click on submit.

Statement will show setup successfully, once the report is ready for download the grid will be enabled and you will be able to download the PDF

34.Close account

In order to close your demat account, you are requested to submit a request letter/closure request form duly signed by all the holders to your Depository Participant (DP) with whom you hold your demat account. A demat account cannot be closed on the basis of an email received from an investor.

As a depository we do not undertake the closure of an account/ additions of any details /modification of account and processing of any transaction submitted by the BO. Hence a Bo is requested to submit the said closure request to your DP to carry out the closure of your account.

35.Discrepancy of Demat account

In case of any discrepancy with regard to the holdings in your demat account you are requested to contact your Depository Participant (DP) seeking for a clarification for the same.

36. Status for pledger for BOID

Go to BO module -> Inquiry -> UCC Inquiry, Please check that whether mentioned UCC details is in verified status or in To be verified/pending status for Pledgor BOID.

If status is in TO be verified/pending status then it is still not approved by exchanges. Once Exchanges approved the same then status will change to verified and then you will be able to do margin pledge transaction.

37. Registering for DPC9

Register for the DPC9 report for the early pay-in account no. from the Settlement reports menu – Registration Request option from the CMs easiest login, upon click Registration Request page for registration will be available to you. Select the report id [DPC9] select the early pay-in BO ID and enter the set-up date from to date and click on register.